

東海大學專任教師學術著作獎勵辦法

THU Measures to Reward Full-time Teachers for Publications

84年11月1日第28次行政會議通過
Approved by the 28th Administrative Affairs Meeting on November 1, 1995
86年11月5日第33次行政會議修訂通過
Amended and approved by the 33rd Administrative Affairs Meeting on November 5, 1997
92年12月31日第28次行政會議修訂通過
Amended and approved by the 28th Administrative Affairs Meeting on December 31, 2003
96年6月6日第9次行政會議修訂通過
Amended and approved by the 9th Administrative Affairs Meeting on June 6, 2007
98年4月15日第6次行政會議修訂通過
Amended and approved by the 6th Administrative Affairs Meeting on April 15, 2009
103年3月19日第3次行政會議修訂通過
Amended and approved by the 3rd Administrative Affairs Meeting on March 19, 2014
105年3月9日第4次行政會議修訂通過
Amended and approved by the 4th Administrative Affairs Meeting on March 9, 2016
108年12月18日第13次行政會議修訂通過
Amended and approved by the 13th Administrative Affairs Meeting on December 18, 2019
112年1月11日第112-01次行政會議修正通過
Amended and approved by the 1st Administrative Affairs Meeting on January 11, 2023
113年1月10日第113-01次行政會議修正通過
Amended and approved by the 1st Administrative Affairs Meeting on January 10, 2024

- 第一條 為促進學術發展，鼓勵本校專任教師撰寫及發表學術著作，訂定本辦法。
- Article 1 In order to promote academic development and encourage full-time faculty members at this university to write and publish academic works, these regulations are established.
- 第二條 本辦法之學術著作獎勵所需之經費由本校年度預算中編列「教師學術研究獎助專款」核發。
- Article 2 The funding required for the academic work incentives under these regulations shall be allocated from the annual budget of this university and disbursed from the 'Faculty Academic Research Awards Fund'.
- 第三條 本辦法之獎勵對象為本校專任教師，所稱學術著作為以本校名義出版或發表學術著作（含作品，以下同）或因此著作而獲獎者，其種類如下：
- 一、期刊論文：係指發表於國內外學術期刊，具審查機制之學術研究論文。
 - 二、專書：係指由國內外出版社正式出版之學術性專書。
 - 三、藝術、設計創作及策展：係指發表或策劃公開參展之藝術或設計作品、公開之藝術表演活動、音樂演出之影音資料(附節目書單)、由國內外出版社正式出版之藝術或設計及文學作品之專輯等作品。
 - 四、獲獎：係指由國內外學術性機構就上述著作所頒發之獎項。
 - 五、專利：係指取得國內外具實質審查機制之專利。
- Article 3 The recipients of the incentives under these regulations shall be full-time faculty members at this university. The term 'academic work' referred to in these regulations includes academic works published or presented in the name of this university, including creative works, and the categories are as follows:
1. Journal Articles: Refers to academic research papers published in domestic and international academic journals with a peer-review mechanism.
 2. Monographs: Refers to academic monographs formally published by domestic and international publishers.
 3. Art, Design Creation, and Curation: Refers to publicly exhibited or curated art or design works, publicly presented art performance events, audiovisual materials of music performances (with program lists), compilations of art, design, and literary works formally published by domestic and international

publishers.

4. Awards: Refers to awards presented by domestic and international academic institutions for the aforementioned works.

5. Patents: Refers to patents obtained through substantial examination mechanisms both domestically and internationally.

第四條 本辦法依照下列方式對於申請人提出本辦法第三條所列之著作與獲獎，進行分級後給予獎勵：

一、由申請人自行提供每一件著作或獲獎分級建議之說明與佐證參考資料，送系教師評議委員會、院教師評議委員會進行審議通過後，建議每一件著作或獲獎分為頂尖、A、B、C及D五級，再送交研發處審查，對於著作或獲獎之資格或分級有疑義者，由研發處送交校學術審議委員會進行評定。各級著作或獲獎之單位獎勵金額由校學術審議委員會視當年度預算議定；院教師評議委員會參考本辦法之分級原則(如附件)後，自行修訂可以反應該院屬性之獎勵審查細則，並提報本校學術審議委員會核備。

二、申請人可申報當年度所有之著作或獲獎，頂尖著作與A級、B級著作不限件數均予獎勵，C級及D級著作獎勵合計以五件為上限。每件著作或獲獎應依本條第一項審查後，依各等級獎勵金額，再乘以作者權重而得其實領獎勵金額，而該件著作之作者權重參見本條第三項。

三、論文之通訊作者(Corresponding author)與第一位作者之作者權重皆為1，第二順序作者權重為0.5，第三作者為0.3，第四作者之後全為0.1。若本校專任教師多人為論文之共同作者時，應主動填寫本校合著專任教師之中文姓名，並可各自依上述作者排序申請，但僅以最高的權重來核計獎勵金，之後再依照申請專任教師的權重總和作為分母，分別計算各自作者的權重為權重比例，直接乘以該件著作獎勵金。

(例1.某篇論文作者內有本校專任教師共二人參與，一位為第一作者，另一位為第二作者，兩位教師各自申請，權重分別為1及0.5，以權重最高值1為獎勵金額。各自所分配獎勵金則以 $1/(1+0.5)=0.67$ ， $0.5/(1+0.5)=0.33$ 之權重，再乘以論文級數之獎勵金核發。以某等級著作該學年度獎勵金額10,000元為例，上述兩位申請人各得由該篇著作所得之獎勵金為： $10,000 \text{元} \times (0.67) = 6700 \text{元}$ 、 $10,000 \text{元} \times (0.33) = 3300 \text{元}$ 。)

(例2.某篇論文作者內有本校專任教師共二人參與，一位為第一作者，另一位為第三作者且為通訊作者，兩位教師各自申請，權重均為1，以權重最高值1為獎勵金額。各自所分配獎勵金均以 $1/(1+1)=0.5$ 之權重，再乘以論文級數之獎勵金核發。以某等級著作該學年度獎勵金額10,000元為例，上述兩位申請人各得由該篇著作所得之獎勵金均為： $10,000 \text{元} \times (0.5) = 5,000 \text{元}$ 。)

(例3.某篇論文作者內有本校專任教師共三人參與，分別為第二作者、第三作者及第四作者且均非通訊作者，三位教師各自申請，權重分別為0.5、0.3及0.1，以權重最高值0.5為獎勵金額。各自所分配獎勵金則以 $[0.5/(0.5+0.3+0.1)] \times 0.5 = 0.28$ 、 $[0.3/(0.5+0.3+0.1)] \times 0.5 = 0.17$ 、 $[0.1/(0.5+0.3+0.1)] \times 0.5 = 0.06$ 之權重，再乘以論文級數之獎勵金核發。以某等級著作該學年度獎勵金額10,000元為例，上述三位申請人

各得由該篇著作所得之獎勵金為：10,000 元*(0.28) = 2,800 元、10,000 元*(0.17) = 1,700 元、10,000 元*(0.06) = 600 元。)

Article 4 These regulations classify and provide incentives to applicants for works and awards listed in Article 3 of these regulations in the following manner.

1. The applicants shall provide explanations and supporting reference materials for each work or award listed in Article 3 of these regulations for self-proposed grading. These submissions shall be reviewed and approved by the department faculty evaluation committee and the college faculty evaluation committee. Each work or award shall be classified into five levels: Top, A, B, C, and D. The results shall then be submitted to the Office of Research and Development for examination. If there are doubts about the qualifications or grading of the works or awards, the Office of Research and Development shall refer the matter to the Academic Review Committee for assessment. The amount of the incentives for each level of work or award shall be determined by the Academic Review Committee based on the annual budget. The college faculty evaluation committee shall revise the specific review criteria to reflect the characteristics of the college, referring to the grading principles of these regulations (as in the attachment), and submit them to the Academic Review Committee for approval.

2. Applicants can apply for all works or awards for the current year. There is no limit to the number of incentives for Top, A, and B level works. However, the total incentives for C and D level works are capped at five. Each work or award, after being reviewed according to the first paragraph of this article, shall be multiplied by the incentive amount for each level and then by the author's weight to determine the actual incentive amount. The author's weight for a particular work can be found in the third paragraph of this article.

3. The corresponding author and the first author of the paper both have an author's weight of 1. The second author's weight is 0.5, the third author's weight is 0.3, and from the fourth author onwards, the weight is 0.1. If multiple full-time faculty members of this university are co-authors of a paper, they should actively provide the Chinese names of the co-authoring full-time faculty members of this university, and they can apply separately according to the author's order. However, the incentive amount is calculated based on the highest weight. Then, the author's weight for each author is calculated as a weight ratio, directly multiplied by the incentive amount of the work.

(Example 1: In a paper, two full-time faculty members of this university are involved as authors, one is the first author, and the other is the second author. They each apply with weights of 1 and 0.5. The incentive amount is determined based on the highest weight of 1. The allocated incentive amount for each person is calculated as follows: $1 / (1 + 0.5) = 0.67$, $0.5 / (1 + 0.5) = 0.33$, and then multiplied by the incentive amount for the level of the paper. Taking a 10,000 NTD incentive for a work of a certain level in that academic year as an example, the two applicants in the above case will each receive an incentive amount from that work of: $10,000 \text{ NTD} * (0.67) = 6,700 \text{ NTD}$, and $10,000 \text{ NTD} * (0.33) = 3,300 \text{ NTD}$.)

(Example 2: In a paper, there are two full-time faculty members of this university as co-authors. One is the first author, and the other is the third author and the corresponding author. They each apply with weights of 1. The incentive amount is determined based on the highest weight of 1. The allocated incentive amount for each person is calculated as follows: $1 / (1 + 1) = 0.5$, and then multiplied by the incentive amount for the level of the paper. Taking a 10,000 NTD incentive for a work of a certain level in that academic year as an example, the two applicants in the above case will each receive an incentive amount from that work of: $10,000 \text{ NTD} * (0.5) = 5,000$

NTD.)

(Example 3: In a paper, there are three full-time faculty members of this university as co-authors, with the second author, third author, and fourth author, and none of them are the corresponding author. They each apply with weights of 0.5, 0.3, and 0.1, respectively. The incentive amount is determined based on the highest weight of 0.5. The allocated incentive amount for each person is calculated as follows: $[0.5 / (0.5 + 0.3 + 0.1)] * 0.5 = 0.28$, $[0.3 / (0.5 + 0.3 + 0.1)] * 0.5 = 0.17$, $[0.1 / (0.5 + 0.3 + 0.1)] * 0.5 = 0.06$, and then multiplied by the incentive amount for the level of the paper. Taking a 10,000 NTD incentive for a work of a certain level in that academic year as an example, the three applicants in the above case will each receive an incentive amount from that work of: $NT\$10,000 * 0.28 = NT\$2,800$, $NT\$10,000 * 0.17 = NT\$1,700$, and $NT\$10,000 * 0.06 = NT\600 .)

第五條 獎勵之申請及審查：

申請著作與獲獎以前一年度一月至十二月間出版者為限，申請者應檢附著作(含佐證資料)及申請表送審。如因正當理由(例如休假出國)致未於當年度申請期限內提出申請者，得於次一年度補行申請，惟其獎勵分級悉依申請年度標準。

Article 5 Application and Review of Incentives:

Applications for academic works and awards are limited to those published between January and December of the previous year. Applicants should submit their works (including supporting documents) and application forms for review. If, due to legitimate reasons (such as being on vacation or traveling abroad), an applicant fails to submit their application within the deadline of the current year, they may apply in the following year. However, the grading of the award will be based on the standards of the year in which the application is made.

第六條 有關本辦法之疑義、解釋及附件審訂得由校學術審議委員會決定。

Article 6 Any doubts, interpretations, or revisions of the attachments related to these regulations may be decided by the THU Academic Review Committee.

第七條 各學院所訂定之學術著作審查細則如有修訂者，須報本校學術審議委員會備查。

Article 7 If any revisions are made to the academic work review details established by each college, they must be submitted to the THU Academic Review Committee for record.

第八條 本辦法經本校學術審議委員會通過，送行政會議通過後實施。

Article 8 The regulations will be promulgated after being passed by the THU Academic Review Committee and approved by the Administrative Affairs Meeting.